JC944 U.S. PTO

12-20-190

UTILITY PATENT APPLICATION TRANSMITTAL	Attorney Docket No	P02087US1
	First Inventor or Application Identifier	J. Stuart Cumming
	Title	"Accommodating Intraocular Lens"
(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))	Express Mail Label No.	EH459274411US

JC918 09/740679 U.S. PTO 12/19/00

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:
Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ *Fee Transmittal Form* (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. ☒ Specification
(preferred arrangement set forth below)   **Total Pages**   124

- Descriptive title of the Invention
- Cross References to Related Applications
- Reference of Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. ☒ Drawing(s) (35 U.S.C. 113)   **Total Sheets**   19

4. ☒ Oath or Declaration   **Total Pages**   10

   a. ☐ Newly executed (original or copy)

   b. ☒ Copy from a prior application (37 C.F.R. § 1.63(d))
     (for continuation/divisional with Box 17 completed)

     i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33 (b)

5. ☐ Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be a part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ACCOMPANYING APPLICATION PARTS

6. ☐ Microfiche Computer Program (Appendix)

7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
   a. ☐ Computer Readable Copy
   b ☐ Paper Copy (identical to computer copy)
   c. ☐ Statement verifying identity of above copies

8. ☐ Assignment Papers (cover sheet & document(s))

9. ☐ 37 C.F.R.§3.73(b) Statement (when there is an assignee)   ☐ Power of Attorney

10. ☐ English Translation Document (if applicable)

11. ☐ Information Disclosure Statement (IDS)/PTO-1449   ☐ Copies of IDS Citations

12. ☐ Preliminary Amendment

13. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

14. ☐ *Small Entity Statement(s) (PTO/SB/09-12)   ☐ Statement filed in prior application, Status is proper and desired

15. ☐ Certified Copy of Priority Document(s)

16. ☐ Other

* NOTE FOR ITEMS 1 & 14: *IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STSTEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28)*

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17. If a CONTINUING APPLICATION, *check appropriate box, and supply the requisite information below and in a preliminary amendment:*

☒ Continuation   ☐ Divisional   ☐ Continuation-in-part (CIP)   of prior application No: U. S. Serial No. 09/987,531 Filed December 9, 1997

Prior application information.   Examiner: Eduardo C. Robert   Group/Art Unit: 3732

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18. CORRESPONDENCE ADDRESS

☒ Customer Number or bar code label

06-2375 (10016563)
(Insert Customer No. or Attach bar code label here)

or   ☒   Correspondence address below

Name	Fulbright & Jaworski L.L.P.				
Address	1301 McKinney, Suite 5100				
City	Houston	State	TX		
				ZIP Code	77010-3095
Country	U.S.A.	Telephone	713/651-5391	Fax	713/651-5246

Name (Print/Type)	J. Ashley Herring	Registration No. (Attorney/Agent)	P-47,644
Signature		Date	12/19/2000

FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.
These are the fees effective October 1, 1997.
Small Entity payments must be supported by a small entity statement, otherwise large entity
fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 and 1.28

Complete If Known	
Application No.	Filed Herewith
Filing Date	12/19/2000
First Named Inventor	J. Stuart Cumming
Examiner Name	Eduardo C. Robert
Group / Art Unit	3732
Attorney Docket No.	P02087US1

TOTAL AMOUNT OF PAYMENT

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: 06-2375

Deposit Account Name: Fulbright & Jaworski

☒ Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17

☐ Charge the Issue Fee Set in 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FEE FILING

Large Fee Code	Entity Fee ($)	Small Fee Code	Entity Fee ($)	Fee Description	Fee Paid
101	760	201	380	Utility filing fee	355
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	760	208	380	Reissue filing fee	
114	150	214	75	Provisional filing fee	
				SUBTOTAL (1)	**355**

2. EXTRA CLAIM FEES

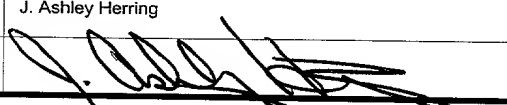|	Extra Claims		Fee from below		Fee Paid
Total Claims	52	- 20** =	32	X 9 =	288
Independent Claims	16	- 3** =	13	X 40 =	520
Multiple Dependent				=	

**or number previously paid, if greater; For Reissues, see below*

Large Fee Code	Entity Fee ($)	Small Fee Code	Entity Fee ($)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent Claims in excess of 3
104	260	204	130	Multiple dependent claim, if not paid
109	78	209	39	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent
				SUBTOTAL (2) 1,163.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Fee Code	Entity Fee ($)	Small Fee Code	Entity Fee ($)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2520	147	2520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to examiner action	
113	1840*	113	1840*	Requesting publication of SIR after examiner action	
115	110	215	55	Extension for reply within first month	
116	380	216	190	Extension for reply within second month	
117	870	217	435	Extension for reply within third month	
118	1360	218	680	Extension for reply within fourth month	
128	1850	228	925	Extension for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1510	138	1510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1210	241	605	Petition to revive - unintentional	
142	1210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	760	246	380	Filing a submission after final rejection (37 C.F.R. 1.129(b))	
149	760	249	380	For each additional invention	

Other fee (specify)

Other fee (specify)

* Reduced by Basic Filing Fee Paid   **SUBTOTAL (3)**

SUBMITTED BY

Typed or Printed Name	J. Ashley Herring		Complete (if applicable)	
			Reg. Number	P-02087US1
Signature		Date 12/19/ 2000	Deposit Account User ID	06-2375

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HONG KONG

December 19, 2000

Re: Applicant: J. Stuart Cumming
Serial No.: Filed Herewith
Filed: December 19, 2000
Title: "Accommodating Intraocular Lens"
Our File No.: 10026573/P02087US1

Assistant Commissioner for Patents
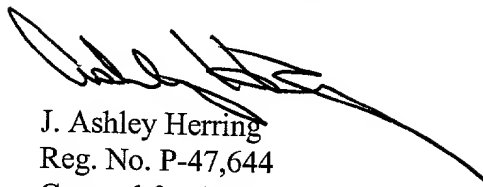and Trademarks
Washington, DC 20231

Dear Sir:

Attached hereto for filing in the above-referenced application are the following:

1. Copy of Patent Application including specification, claims and drawings.
2. Utility Patent Transmittal.
3. Fee Transmittal.
4. Copy of Declaration and Power of Attorney from prior application.
5. Check for $1,163.00.
6. Transmittal letter (in duplicate).
7. Return postcard.

Commissioner is hereby authorized to charge any additional fees and/or credits by this paper and during the pendency of this application to the Deposit Account of Fulbright & Jaworski L.L.P., 06-2375 under Order No. P02087US0/10026573 from which the undersigned is authorized to draw.

5600853.1

Respectfully submitted,

J. Ashley Herring
Reg. No. P-47,644
Counsel for Applicant

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